

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of  
Artavanis-Tsakonas et al.

Group Art Unit:

Serial No.: 08/083,590

Examiner:

Filed: June 25, 1993

For: THERAPEUTIC AND DIAGNOSTIC  
METHODS AND COMPOSITIONS  
BASED ON NOTCH PROTEINS AND  
NUCLEIC ACIDS

Pennie & Edmonds  
1155 Avenue of the Americas  
New York, New York 10036

Attorney Docket No.: 7326-015

REQUEST FOR REFUND PURSUANT TO 37 C.F.R. § 1.28(a)

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Attention: Refund Section, Accounting Division  
Office of Refund

Sir:

A filing fee in excess of the required fee has been paid in connection with the subject patent application. In particular, a fee of \$6,600.00 has been paid. An Assignment of the application to Yale University, 451 College Street, New Haven, Connecticut 06520 is submitted concurrently herewith. Yale University qualifies as a small entity under 37 C.F.R. 1.9(f) and 1.27(d) and, therefore, a fee of only \$3,300.00 is required. As per instructions set forth in the notice at 1027 O.G. 115 from the then

EXPRESS MAIL CERTIFICATION

"Express Mail" label No. TB 389 222 812 US Date of Deposit August 13, 1993

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

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PENY-198098.1

Acting Commissioner of Patents and Trademarks, Donald J. Quigg, a Verified Statement  
Claiming Small Entity Status [37 C.F.R. 1.9(f) and 1.27(d)] is enclosed herewith.

Please refund the excess by crediting \$3,300.00 to Deposit Account No.

16-1150. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PENNIE & EDMONDS  
Attorneys for Applicants

Date: August 13, 1993

*S. Leslie Misrock* / *by Adrienne Antler*  
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18,872  
S. Leslie Misrock (Reg. No.)

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T.C. \_\_\_\_\_

New York, N.Y.

August 13, 1993

The stamp of the Patent Office hereon may be taken  
as an acknowledgment of the date stamped of the

~~AMENDMENT~~ in Case. 7326-015  
Request for Refund Pursuant to CFR § 1.28(a)  
Recordation Form Cover Sheet for Assignment  
Assignment  
Declaration and Power of Attorney  
Verified Statement Claiming Small Entity Status  
Transmittal of Declaration After Filing Date  
08/083,590

AMA

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